

06-22-01


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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****CERTIFICATE OF EXPRESS MAILING**

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Attorney Docket No.: SUN1P710/P5267

First Named Inventor: Alfred J. Huang

  
Misha Eisman

j1046 U.S. PTO  
09/886003  
06/20/01

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Regular application claiming priority of a provisional)

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**For:** This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Alfred J. Huang**

**For:** **METHODS AND APPARATUS FOR USE IN AIDING STACK UNWINDING**

Assigned to: Sun Microsystems, Inc.

Priority of U.S. Provisional Application No. 60/291,420 filed on May 16, 2001 is claimed under 35 U.S.C. § 119(e).

**Application Elements:**

- ☒ **25** Pages of Specification, Claims and Abstract  
☒ **4** Sheets of informal Drawings  
☒ Combined Declaration and Power of Attorney  
☐ Separate Declaration

**Accompanying Application Parts:**

- ☐ Do not publish this application. Nonpublication Request is attached.  
☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Separate Power of Attorney by Assignee  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard

Claim For Foreign Priority

☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
is claimed under 35 U.S.C. § 119.  
☐ The certified copy is enclosed. ☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:

“This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_  
filed on \_\_\_\_\_, the disclosure of which is incorporated herein by reference.”

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	27	MINUS	20	= 7	x 18	126
INDEP.	7	MINUS	3	= 4	x 80	320
[ ] First presentation of multiple dependent claim					\$270	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710	710.00
TOTAL						1,156
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☒ Check No. 4405 in the amount of \$1,196 is enclosed.

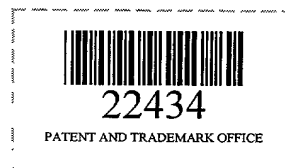
☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. SUN1P710).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SUN1P710).

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Date: June 20, 2001

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